

10/28/99



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Please type a plus sign (+) inside this box → ☐Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	V5735
First Inventor or Application Identifier	Faust et al.
Title	Automated Typesetting System
Express Mail Label No.	EM488,643,516US

PTO  
09/429196  
JC678 U.S.  
10/28/99**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages **90**]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **45**]
4. Oath or Declaration [Total Pages **3**]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

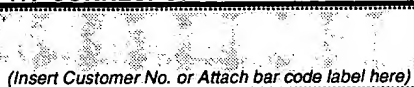
5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☒ \* Small Entity Statement(s) filed in prior application, Status still proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Other: .....

**NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).****16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:**☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**17. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Marc E. Fineman				
Address	Kegan & Kegan, Ltd. 79 W. Monroe St. #1320				
City	Chicago	State	IL	Zip Code	60603-4969
Country	USA	Telephone	312-782-6495	Fax	312-782-6494

Name (Print/Type)	Marc E. Fineman	Registration No. (Attorney/Agent)	41,746
Signature		Date	28Oct99

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 1999</h2> <p style="margin: 0; font-size: small;">Patent fees are subject to annual revision. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.</p>		<p><b>Complete if Known</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td></td> </tr> <tr> <td>Filing Date</td> <td>October 28, 1999</td> </tr> <tr> <td>First Named Inventor</td> <td>Faust, Steven D.</td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Group / Art Unit</td> <td></td> </tr> <tr> <td>Attorney Docket No.</td> <td>V5735</td> </tr> </table>		Application Number		Filing Date	October 28, 1999	First Named Inventor	Faust, Steven D.	Examiner Name		Group / Art Unit		Attorney Docket No.	V5735
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<p><b>TOTAL AMOUNT OF PAYMENT</b> (\$ ) 550.00</p>															

<p><b>METHOD OF PAYMENT (check one)</b></p> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: <u>11-0330</u></p> <p>Deposit Account Name: <u>Kegan &amp; Kegan, Ltd.</u></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:  <input checked="" type="checkbox"/> Check    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other</p> <p style="text-align: center;"><b>FEE CALCULATION</b></p> <p><b>1. 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late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	380	216	190	Extension for reply within second month		117	870	217	435	Extension for reply within third month		118	1,360	218	680	Extension for reply within fourth month		128	1,850	228	925	Extension for reply within fifth month		119	300	219	150	Notice of Appeal		120	300	220	150	Filing a brief in support of an appeal		121	260	221	130	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,210	241	605	Petition to revive - unintentional		142	1,210	242	605	Utility issue fee (or reissue)		143	430	243	215	Design issue fee		144	580	244	290	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	240	126	240	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)	40	146	760	246	380	Filing a submission after final rejection (37 CFR § 1.129(a))		149	760	249	380	For each additional invention to be examined (37 CFR § 1.129(b))		Other fee (specify) _____						Other fee (specify) _____						<b>SUBTOTAL (3)</b>					(\$ ) 40
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142	1,210	242	605	Utility issue fee (or reissue)																																																																																																																																																																																																																																																																																																																									
143	430	243	215	Design issue fee																																																																																																																																																																																																																																																																																																																									
144	580	244	290	Plant issue fee																																																																																																																																																																																																																																																																																																																									
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																																																																																																																																																									
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																																																																																																																																																																									
126	240	126	240	Submission of Information Disclosure Stmt																																																																																																																																																																																																																																																																																																																									
581	40	581	40	Recording each patent assignment per property (times number of properties)	40																																																																																																																																																																																																																																																																																																																								
146	760	246	380	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																																																																																																																																																									
149	760	249	380	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																																																																																																																																																									
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<b>SUBTOTAL (3)</b>					(\$ ) 40																																																																																																																																																																																																																																																																																																																								

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Marc E. Fineman	Registration No. (Attorney/Agent)	41,746
Signature		Telephone	312-782-6495
		Date	28Oct99

10/28/99  
JCS66 U.S. PRO



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Patents, Trademarks, Copyrights  
Food, Drugs, Medical Devices  
Computers, Licensing  
Federal Litigation  
Counsel to Counsel

Express EM488,643,516US

Assistant Commissioner for Patents  
Box Patent Application  
Washington DC 20231

Re New Patent Application  
98,005,735 Automated Typesetting System

Dear Assistant Commissioner for Patents:

October 28, 1999


We enclose for filing for the above invention:

- Utility Patent Application Transmittal (1p);
- Fee Transmittal for FY 1999 (1p, 2 copies);
- Declaration for Utility Patent Application (3p);
- Statement Claiming Small Entity Status—Independent Inventor (2p);
- Statement Claiming Small Entity Status—Small Business Concern (1p);
- Assignment Recordation Cover Sheet (3p);
- Assignment Documents (4p);
- Specification (90p);
- Drawings (45p);
- Check for \$550 in payment of the filing fee, claim fee, and assignment recordation fee; and,
- Receipt acknowledgment postcard.

- If the filing fee is greater than the amount on the enclosed check, please debit Kegan & Kegan, Ltd.'s PTO Deposit Account 11-0330, for the difference.

Please direct all communications to the undersigned as attorney for the applicant.

Regards,

  
Marc E Fineman, ext 23  
marc@KeganLaw.com  
Kegan & Kegan, Ltd. /5  
US PTO Reg 41,746  
enc.

The undersigned certifies that this correspondence is being deposited postage prepaid on the below date with the United States Postal Service as Express Mail addressed to Assistant Commissioner for Patents, Box Patent Application, Washington DC 20231.

October 28, 1999

